

# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

| APPLICATION NO. FILING DATE  |                | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |  |
|--|----------------|----------------------|---------------------|------------------|--|
| 09/911,916   | 07/24/2001     | Fabio Casati         | 10008278-1          | 4217             |  |
| 7:   | 590 12/05/2005 | EXAMINER             |                     |                  |  |
| HEWLETT-PACKARD COMPANY  |                |                      | WOOD, WILLIAM H     |                  |  |
| Intellectual Property Administration P.O. Box 272400 Fort Collins, CO 80527-2400 |                |                      | ART UNIT            | PAPER NUMBER     |  |
|  |                |                      | 2193                |                  |  |

DATE MAILED: 12/05/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

|  |   | Application   | on No.   | Applicant(s)  |        |  |  |  |  |
|--|---|---|--|---|--------|--|--|--|--|
|  | Office Antique Commence   | 09/911,91   | 6  | CASATI ET AL.   |        |  |  |  |  |
|  | Office Action Summary   | Examiner  |  | Art Unit  |        |  |  |  |  |
|  |   | William H.  |  | 2193  |        |  |  |  |  |
| Period fo  | The MAILING DATE of this communication or<br>or Reply   | appears on the  | cover sheet with the o   | correspondence ad   | idress |  |  |  |  |
| WHIC<br>- Exter<br>after<br>- If NC<br>- Failu<br>Any  | ORTENED STATUTORY PERIOD FOR REID PERIOD FOR MAILING INSIGN OF THE MAY BE AND A STATE OF THE MAILING AS IN (6) MONTHS from the mailing date of this communication. In period for reply is specified above, the maximum statutory period for reply within the set or extended period for reply will, by state to reply within the set or extended period for reply will, by state period by the Office later than three months after the may be patent term adjustment. See 37 CFR 1.704(b). | B DATE OF TH<br>R 1.136(a). In no even<br>riod will apply and wi<br>atute, cause the appl | IIS COMMUNICATION Ont, however, may a reply be tire II expire SIX (6) MONTHS from ication to become ABANDONE | N.<br>mely filed<br>n the mailing date of this o<br>ED (35 U.S.C. § 133). | ,      |  |  |  |  |
| Status   |   |   |  |   |        |  |  |  |  |
| 1) 又   | Responsive to communication(s) filed on <u>08 August 2005</u> .   |   |  |   |        |  |  |  |  |
| ·  | This action is <b>FINAL</b> . 2b)⊠ This action is non-final.  |   |  |   |        |  |  |  |  |
| ′=   | <del>/</del>  |   |  |   |        |  |  |  |  |
| ,  | closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.   |   |  |   |        |  |  |  |  |
| Dispositi  | on of Claims  | ·   |  |   |        |  |  |  |  |
| 4) 🖂   | 4)⊠ Claim(s) <u>1-12 and 14-31</u> is/are pending in the application.   |   |  |   |        |  |  |  |  |
| •  | 4a) Of the above claim(s) is/are withdrawn from consideration.  |   |  |   |        |  |  |  |  |
|  | 5) Claim(s) is/are allowed.   |   |  |   |        |  |  |  |  |
|  | Claim(s) is/are rejected.   |   |  |   |        |  |  |  |  |
| 7)   | _   |   |  |   |        |  |  |  |  |
| 8)[  | Claim(s) are subject to restriction and   | d/or election re  | equirement.  |   |        |  |  |  |  |
| Applicati  | on Papers   |   |  |   |        |  |  |  |  |
| 9)[]   | The specification is objected to by the Exami   | iner.   |  |   |        |  |  |  |  |
| 10) The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner.   |   |   |  |   |        |  |  |  |  |
| Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).                      |   |   |  |   |        |  |  |  |  |
| Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).     |   |   |  |   |        |  |  |  |  |
| 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.                 |   |   |  |   |        |  |  |  |  |
| Priority u   | ınder 35 U.S.C. § 119   |   |  |   |        |  |  |  |  |
| 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some * c) None of: |   |   |  |   |        |  |  |  |  |
| ,-   | 1. Certified copies of the priority documents have been received.   |   |  |   |        |  |  |  |  |
|  | 2. Certified copies of the priority documents have been received in Application No  |   |  |   |        |  |  |  |  |
|  | 3. Copies of the certified copies of the priority documents have been received in this National Stage   |   |  |   |        |  |  |  |  |
|  | application from the International Bureau (PCT Rule 17.2(a)).   |   |  |   |        |  |  |  |  |
| * S  | ee the attached detailed Office action for a li   | ist of the certif   | ied copies not receive   | ed.   |        |  |  |  |  |
|  |   |   |  |   |        |  |  |  |  |
| Attachment   | t(s)  |   |  |   |        |  |  |  |  |
|  | e of References Cited (PTO-892)   |   | 4) Interview Summary   |   |        |  |  |  |  |
|  | e of Draftsperson's Patent Drawing Review (PTO-948)<br>nation Disclosure Statement(s) (PTO-1449 or PTO/SB/0   | 08)   | Paper No(s)/Mail Da 5) Notice of Informal P  |   | D-152) |  |  |  |  |
|  | r No(s)/Mail Date   |   | 6) Other: Requirent for Information.   |   |        |  |  |  |  |

Application/Control Number: 09/911,916 Page 2

Art Unit: 2193

## REQUIREMENT FOR INFORMATION

The finality of the last Office Action (18 May 2005) is withdrawn.

#### 37 CFR 1.105

§ 1.105 Requirements for information.

(a)

- (1) In the course of examining or treating a matter in a pending or abandoned application filed under 35 U.S.C. 111 or 371 (including a reissue application), in a patent, or in a reexamination proceeding, the examiner or other Office employee may require the submission, from individuals identified under § 1.56(c), or any assignee, of such information as may be reasonably necessary to properly examine or treat the matter, for example:
  - (i) Commercial databases: The existence of any particularly relevant commercial database known to any of the inventors that could be searched for a particular aspect of the invention.
  - (ii) Search: Whether a search of the prior art was made, and if so, what

was searched.

- (iii) Related information: A copy of any non-patent literature, published application, or patent (U.S. or foreign), by any of the inventors, that relates to the claimed invention.
- (iv) Information used to draft application: A copy of any non-patent literature, published application, or patent (U.S. or foreign) that was used to draft the application.
- (v) Information used in invention process: A copy of any non-patent literature, published application, or patent (U.S. or foreign) that was used in the invention process, such as by designing around or providing a solution to accomplish an invention result.
- (vi) Improvements: Where the claimed invention is an improvement, identification of what is being improved.
- (vii) In Use: Identification of any use of the claimed invention known
- any of the inventors at the time the application was filed notwithstanding the date of the use.
- (2) Where an assignee has asserted its right to prosecute pursuant to § 3.71(a)
- of this chapter, matters such as paragraphs (a)(1)(i), (iii), and (vii) of this section may also be applied to such assignee.
- (3) Any reply that states that the information required to be submitted is unknown and/or is not readily available to the party or parties from which it was requested will be accepted as a complete reply.
- (b) The requirement for information of paragraph (a)(1) of this section may be included in an Office action, or sent separately.
- (c) A reply, or a failure to reply, to a requirement for information under this section will be governed by §§ 1.135 and 1.136.

[Removed and reserved, 62 FR 53131, Oct. 10, 1997, effective Dec.1, 1997; added, 65 FR 54604, Sept. 8, 2000, effective Nov. 7, 2000]

Application/Control Number: 09/911,916 Page 3

Art Unit: 2193

# Requirement for Information

Applicant and the assignee of this application are required under 37 CFR 1.105 to provide the following information that the examiner has determined is reasonably necessary to the examination of this application. Upon review of the disclosed prior art Casati et al., "eFlow: a Platform for Developing and Managing Composite e-Services", IEEE-Proceedings Academia/Industry Working Conference on 27-29 April 2000, pp. 341-348, it appears that information directly related to the patentability of the current claims may have been made available to the public through the "eFlow" technology. The specifics of the public disclosure must be analyzed in a determination of applicability under 35 U.S.C. § 102(a,b).

In response to this requirement, please provide:

- 1) All release information (such as dates, product literature and the product itself) for the "eFlow" platform that may have occurred prior to 24 July 2000;
- 2) Factual statement explaining the relationship between specifying a composite e-service and deploying the composite e-service (as recited in the claims) in the "eFlow" platform released prior to 24 July 2000; and
- 3) Factual statement explaining the operational steps involved in receiving a composite e-service to producing a working deployment for a client, target or customer (as recited in the claims) in the "eFlow" platform released prior to 24 July 2000;

Art Unit: 2193

4) Factual statement explaining the process of deploying the composite eservice in the "eFlow" platform (as recited in the claims) released prior to 24 July 2000; and

Additionally in response to this requirement, please provide the names of any products or services that have incorporated the claimed subject matter and/or the names of any products or services that have incorporated the "eFlow" platform. In addition to provided names, please provide dates said products and services were made available to the public.

In responding to those requirements that require copies of documents, where the document is a bound text or a single article over 50 pages, the requirement may be met by providing copies of those pages that provide the particular subject matter indicated in the requirement, or where such subject matter is not indicated, the subject matter found in applicant's disclosure.

The fee and certification requirements of 37 CFR 1.97 are waived for those documents submitted in reply to this requirement. This waiver extends only to those documents within the scope of this requirement under 37 CFR 1.105 that are included in the applicant's first complete communication responding to this requirement. Any supplemental replies subsequent to the first communication responding to this requirement and any information disclosures beyond the scope of this requirement under 37 CFR 1.105 are subject to the fee and certification requirements of 37 CFR 1.97.

Application/Control Number: 09/911,916

Art Unit: 2193

The applicant is reminded that the reply to this requirement must be made with candor and good faith under 37 CFR 1.56. Where the applicant does not have or cannot readily obtain an item of required information, a statement that the item is unknown or cannot be readily obtained will be accepted as a complete reply to the requirement for that item.

### Conclusion

This requirement is an attachment of the enclosed Office action. A complete reply to the enclosed Office action must include a complete reply to this requirement. The time period for reply to this requirement coincides with the time period for reply to the enclosed Office action.

### Correspondence Information

Any inquiry concerning this communication or earlier communications from the examiner should be directed to William H. Wood whose telephone number is (571)-272-3736. The examiner can normally be reached 9:00am - 5:30pm Monday thru Friday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Kakali Chaki can be reached on (571)-272-3719. The fax phone numbers for the organization where this application or proceeding is assigned are (571)273-8300 for regular communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703)305-3900.

William H. Wood

November 28, 2005

Lower. Un.

KAKALI CHAKI SUPERVISORY PATENT EXAMINATE TECHNOLOGY CENTER 21